

FORM PTO-1449



INFORMATION DISCLOSURE

STATEMENT BY APPLICANT

(use as many sheets as necessary)

Attorney Docket Number 39293/JEC/X2

Application Number 09/592,079 # 4

Filing Date June 12, 2000

Applicant(s) Shanker V. Iyer, et al.

Group Art Unit 2756

Examiner Name to be assigned

U.S. PATENT DOCUMENTS

EXAMINER INITIALS	DOCUMENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

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FOREIGN PATENT DOCUMENTS

EXAMINER INITIALS	DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

OTHER DOCUMENTS

EXAMINER INITIALS	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
lw	PCT Search Report for PCT corresponding application, Application No. PCT/US00/16246, including two cited articles
lw	Pohlmann, N., "Sichere It-Loesungen," Net - Zeitschrift Fuer Kommunikationsmanagement, Huthig Verlag, Heilderberg, DE, Vol. 51, No. 8/09, 1997, pp. 34-37, XP000720702
lw	Sun, N., "Internal Firewalls Can Protect Subnetworks form Unauthorized Access," Computer Technology Review, Westworld Production Co.: Los Angeles, Vol. 17, No. 6, June 1, 1997, pp 14,16, 18, XP000740492

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FORM PTO/SB/08A/B (10-01)
Substitute for PTO-1449A/B

INFORMATION DISCLOSURE

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Attorney Docket Number

39293/JEC/X2/134892

Application Number

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Filing Date

June 12, 2000

Applicant(s)

Shanker V. Iyer et al.

Group Art Unit

2750 2155

Examiner Name

to be assigned WANG

U.S. PATENT DOCUMENTS

EXAMINER INITIALS	Cite No. ¹	DOCUMENT NUMBER Number - kind code ² . (If known)	PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE

FOREIGN PATENT DOCUMENTS

EXAMINER INITIALS	Cite No. ¹	Foreign Patent Document Country Code ³ - Number ⁴ - Kind Code ⁵ (If known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T ⁶ (✓)

OTHER DOCUMENTS

EXAMINER INITIALS	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
JW		Common Information Model (CIM) Specification; Specification; Version 2.2; Distributed Management Task Force, Inc.; June 14, 1999; pp-1-97
JW		Directory-enabled Networks, Information Model and Base Schema; Version 3.0c5; pp. 1-113
JW		DMTF LDAP Schema for the CIM v2.4 Core Information Model v1.0, May 6, 2002; DMTF Specification, DSP0117; Distributed Management Task Force, Inc. (DMTF) 2000; pp. 1-55
JW		Dynamic Host Configuration Protocol (DHCP) Service; Version 0.0-1; February 18, 1998; 7 pp.
JW		Network Services - Internet Protocol Security; Version 0.0-2; February 17, 1998; 7 pp.
JW		Signaled Quality of Service; Version 0.0-14; January 12, 1998; 6 pp.
JW		Simple Network Management Protocol; posted February 20, 2002; pp. 1-10
JW		BISWAS, Debasish; Application Class of Service Schemata; Berkeley Networks Inc.; February 19, 1998; pp. 1-7

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OTHER DOCUMENTS

EXAMINER INITIALS	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
JW		CASE, J. et al.; <i>Introduction to Version 3 of the Internet-standard Network Management Framework</i> ; Network Working Group, Request for Comments: 2570; April 1999; 20 pp.
JW		CASE, J. et al.; <i>A Simple Network Management Protocol (SNMP)</i> ; Network Working Group, Request for Comments: 1157; May 1990; 32 pp.
JW		CHAUDHURY, R. et al.; <i>Directory Schema for Service Level Administration of Differentiated Services and Integrated Services in Networks</i> ; pp. 1-17
JW		MOORE, B. et al.; <i>Information Model for Describing Network Device QoS Datapath Mechanisms</i> ; Policy Framework Working Group; Internet-Draft; Category: Standards Track; February, 2002; pp 1-90
JW		MOORE, B. et al.; <i>Policy Core Information Model -- Version 1 Specification</i> ; Network Working Group; Request for Comments: 3060; February 2001; pp. 1-100
JW		RAJAN, R. et al.; <i>A Simple Framework and Architecture for Networking Policy draft-ajan-policy-framework-00.txt</i> ; Internet Engineering Task Force; Internet Draft; May 23, 1999; 27 pp.
JW		RAJAN, R.; <i>Networking Policy Condition Information Model</i> ; Internet Engineering Task Force; Internet Draft; April 5, 1999; pp. 1-17
JW		RAJAN, R.; <i>Policy Action Classes for Differentiated Services and Integrated Services</i> ; Internet Engineering Task Force; April 5, 1999; pp. 1-23
JW		SNIR, Y. et al.; <i>Policy QoS Information Model</i> ; Policy Framework Working Group; November 2001; pp. 1-69

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